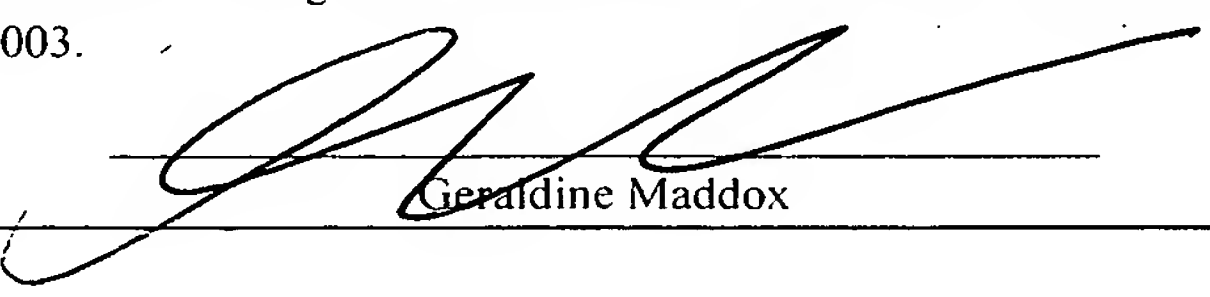


**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on June 30, 2003.

  
Geraldine Maddox

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Kei YAMAMOTO et al.

Serial No.: New Application

Filing Date: June 30, 2003

For: SEMICONDUCTOR LASER DEVICE  
AND OPTICAL DISK UNIT USING  
THE SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.

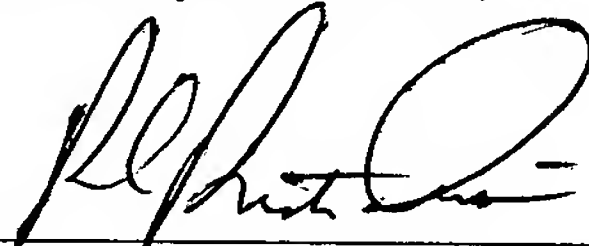
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **204552028900**.

Dated: June 30, 2003

Respectfully submitted,

By:   
Barry E. Bretschneider  
Registration No. 28,055

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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 204552028900

Application Number: New Application

Applicants

Kei YAMAMOTO

Filing Date: June 30, 2003

Group Art Unit: Not Yet Assigned

Mailing Date: June 30, 2003

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	Oct. 3, 2000	6,127,691	FUKUNAGA et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	2.	08/10/1999	11-220224	Japan			Abstract	
	3.	05/22/2003	WO 03/043151 A1	WO			Abstract	
	4.							
	5.							

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	3.	Toshiaki FUKUNAGA et al., HIGH-POWER 0.8 UM INGAASP/INGAP/AIGAS SINGLE QUANTUM WELL LASERS WITH TENSILE-STRAINED INGAP BARRIERS – Part 2, No. 4A, April 1, 1999, pp. L387-L389

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.